## VARIATION METHOD

For a system with more than one electron, we can't solve the Schrödinger Eq. exactly. We must develop methods of approximation, such as

Variation Method<br>Perturbation Theory<br>Combination Variation/Perturbation

The Variation Method doesn't calculate a direct solution to the Schrödinger Eq.; Rather, it uses an approximate wavefunction (called a trial function) to get the best approximation to the property of interest, such as the energy. It is much easier to get an approximate wavefunction that will give a good approximation to the energy than to get the exact wavefunction. This procedure works because you can prove a theorem that the energy that is calculated using the trial function is always greater than or equal to the exact ground state energy. As the trial function gets beter (i.e. closer to the exact wavefunction, whatever that may be), then the energy approaches the exact ground state energy from above, i.e. it is an upper bound to the exact ground state energy.

THEOREM: Given a system with Hamiltonian operator H, if $\phi$ is any normalized well-behaved function that satisfies the boundary conditions of the problem, then

$$
\int \phi^{*} \mathrm{H} \phi \mathrm{~d} \tau \geq \mathrm{E}_{0},
$$

where $\mathrm{E}_{0}$ is the true value of the lowest energy eigenvalue of H .
Proof: Let $\mathrm{I}=\int \phi^{*}\left(\mathrm{H}-\mathrm{E}_{0}\right) \phi \mathrm{d} \tau \geq 0$

$$
\begin{aligned}
& =\int \phi^{*} \mathrm{H} \phi \mathrm{~d} \tau-\int \phi^{*} \mathrm{E}_{0} \phi \mathrm{~d} \tau \\
& =\int \phi^{*} \mathrm{H} \phi \mathrm{~d} \tau-\mathrm{E}_{0} \int \phi^{*} \phi \mathrm{~d} \tau \\
& =\int \phi^{*} \mathrm{H} \phi \mathrm{~d} \tau-\mathrm{E}_{0}, \text { assuming } \phi \text { is normalized. }
\end{aligned}
$$

Show I $\geq 0$ :
Let $\psi_{\mathrm{i}} \& \mathrm{E}_{\mathrm{i}}$ be the true (exact) eigenfunctions \& eigenvalues of H :

$$
\mathrm{H} \psi_{\mathrm{i}}=\mathrm{E}_{\mathrm{i}} \psi_{\mathrm{i}} .
$$

Since the $\psi_{i}$ are a complete set, we can expand the trial function in terms of the $\psi_{i}$ :

$$
\phi=\sum_{\mathrm{k}} \mathrm{a}_{\mathrm{k}} \psi_{\mathrm{k}}
$$

As a result, $\phi$ satisfies the same boundary conditions as the $\psi_{i}$. Then

$$
\mathrm{I}=\int \phi^{*}\left(\mathrm{H}-\mathrm{E}_{0}\right) \phi \mathrm{d} \tau
$$

becomes

$$
\begin{aligned}
\mathrm{I} & =\int \sum_{\mathrm{k}} \mathrm{a}_{\mathrm{k}}{ }^{*} \psi_{\mathrm{k}}{ }^{*}\left(\mathrm{H}-\mathrm{E}_{0}\right) \sum_{\mathrm{j}} \mathrm{a}_{\mathrm{j}} \psi_{\mathrm{j}} \mathrm{~d} \tau \\
& =\int_{\mathrm{k}} \mathrm{a}_{\mathrm{k}}{ }^{*} \psi_{\mathrm{k}}{ }^{*} \sum_{\mathrm{j}}\left(\mathrm{H}-\mathrm{E}_{0}\right) \mathrm{a}_{\mathrm{j}} \psi_{\mathrm{j}} \mathrm{~d} \tau
\end{aligned}
$$

$$
\begin{aligned}
& =\int \Sigma_{\mathrm{k}} \mathrm{a}_{\mathrm{k}}{ }^{*} \psi_{\mathrm{k}}{ }^{*} \Sigma_{\mathrm{j}}\left(\mathrm{E}_{\mathrm{j}}-\mathrm{E}_{0}\right) \mathrm{a}_{\mathrm{j}} \psi_{\mathrm{j}} \mathrm{~d} \tau \text {, since } \mathrm{H} \psi_{\mathrm{j}}=\mathrm{E}_{\mathrm{j}} \psi_{\mathrm{j}} \\
& =\sum_{\mathrm{k}, \mathrm{j}} \mathrm{a}_{\mathrm{k}}{ }^{*} \mathrm{a}_{\mathrm{j}}\left(\mathrm{E}_{\mathrm{j}}-\mathrm{E}_{0}\right) \int \psi_{\mathrm{k}}{ }^{*} \Psi_{\mathrm{j}} \mathrm{~d} \tau \\
& =\underset{\mathrm{k}, \mathrm{j}}{\mathrm{a}_{\mathrm{k}}{ }^{*}} \mathrm{a}_{\mathrm{j}}\left(\mathrm{E}_{\mathrm{j}}-\mathrm{E}_{0}\right) \delta_{\mathrm{kj}} \\
& =\Sigma \mathrm{a}_{\mathrm{k}}{ }^{*} \mathrm{a}_{\mathrm{k}}\left(\mathrm{E}_{\mathrm{k}}-\mathrm{E}_{0}\right) \\
& =\Sigma\left|a_{k}\right|^{2}\left(E_{k}-E_{0}\right)
\end{aligned}
$$

Since $E_{0}$ is the lowest energy eigenvalue, $\left(E_{k}-E_{0}\right) \geq 0$. Also $\left|a_{k}\right|^{2} \geq 0$. So $I \geq 0$.

If $\phi$ is not normalized, the Variation Method can still be used, but the overlap integral must be calculated:

$$
\mathrm{I}=\int \phi^{*} \mathrm{H} \phi \mathrm{~d} \tau-\mathrm{E}_{0} \int \phi^{*} \phi \mathrm{~d} \tau
$$

And $\quad \int \phi^{*} \mathrm{H} \phi \mathrm{d} \tau / \int \phi^{*} \phi \mathrm{~d} \tau \geq \mathrm{E}_{0}$
There are many possible $\phi$ 's. The best $\phi$ is the one for which the variational integral ( $\int \phi^{*} \mathrm{H} \phi \mathrm{d} \tau$ or $\int \phi^{*} \mathrm{H} \phi \mathrm{d} \tau / \int \phi^{*} \phi \mathrm{~d} \tau$ ) is closest to $\mathrm{E}_{0}$. If we happen to choose $\phi=\psi_{0}$, then we will calculate $\mathrm{E}_{0}$ from the variational integral. Note that it is possible to get a good approximation to $\mathrm{E}_{0}$ using a poor $\phi$.

Method of Approach:
(1) Guess a form for the trial function.
(2) Include several variable parameters
(3) "Optimize" the varation integral with respect to these parameters (i.e. Take the partial derivative of the variation intefral with respect to each of the N variable parameters \& set it equal to zero. This gives N eq. Solve each of the N eq. simultaneously. This gives the parameters that determine the best $\phi$.
(4) Use $\phi$ to calculate

$$
\int \phi^{*} \mathrm{H} \phi \mathrm{~d} \tau / \int \phi^{*} \phi \mathrm{~d} \tau
$$

to get the best energy.
EXAMPLES: First, let's use the Variation Method on some exactly solvable problems to see how well it does in calculating $\mathrm{E}_{0}$.
(1) Find the upper bound to the ground state energy of a particle in a box of length L . $\mathrm{V}=0$ inside the box $\& \infty$ outside. $\psi=0$ outside the box. $\mathrm{H}=-\underline{h}^{2} /(2 \mathrm{~m}) \mathrm{d}^{2} / \mathrm{dx}^{2}$

Boundary Conditions: $\psi=0, \mathrm{x}=0, \mathrm{~L}$
Trial function:

$$
\begin{array}{ll}
\phi=\mathrm{x}(\mathrm{~L}-\mathrm{x}) & 0 \leq \mathrm{x} \leq \mathrm{L} \\
\phi=0 & \mathrm{x}>\mathrm{L}, \mathrm{x}<0
\end{array}
$$

Calculate $\quad \int \phi^{*} \mathrm{H} \phi \mathrm{d} \tau / \int \phi^{*} \phi \mathrm{~d} \tau$

$$
\begin{aligned}
& \int \phi^{*} H \phi d \tau=\int_{0}^{L}[x(L-x)]^{*}\left[-\underline{h}^{2} /(2 m) d^{2} / d x^{2}\right][x(L-x)] d x \\
& \mathrm{~d} / \mathrm{dx}[\mathrm{x}(\mathrm{~L}-\mathrm{x})]=\mathrm{L}-\mathrm{x}+\mathrm{x}(-1) \\
& \mathrm{d}^{2} / \mathrm{dx}^{2}[\mathrm{x}(\mathrm{~L}-\mathrm{x})]=-1-1=-2 \\
& \int \phi^{*} \mathrm{H} \phi \mathrm{~d} \tau=\int_{0}^{\mathrm{L}}[\mathrm{x}(\mathrm{~L}-\mathrm{x})]\left[-\underline{h}^{2} /(2 \mathrm{~m})\right](-2) \mathrm{dx} \\
& =\underline{h}^{2} / m \int_{0}^{L}\left(x L-x^{2}\right) d x \\
& =\underline{\mathrm{h}}^{2} / \mathrm{m}\left\{\mathrm{~L} \int_{0}{ }^{\mathrm{L}} \mathrm{xdx}-\int_{0}^{\mathrm{L}} \mathrm{x}^{2} \mathrm{dx}\right\} \\
& =\underline{h}^{2} / m\left\{\mathrm{~L}\left(\mathrm{~L}^{2} / 2\right)-\mathrm{L}^{3} / 3\right\} \\
& =\underline{h}^{2} \mathrm{~L}^{3} /(6 \mathrm{~m}) \\
& \int \phi^{*} \phi d \tau=\int_{0}{ }^{L}[x(L-x)]^{*}[x(L-x)] d x \\
& =\int_{0}{ }^{L} x^{2}(L-x)^{2} d x \\
& =L^{2} \int_{0}^{L} x d x+\int_{0}^{L} x^{4} d x-2 L \int_{0}^{L} x^{3} d x \\
& =L^{2}\left(L^{3} / 3\right)+L^{5} / 5-2 L\left(L^{4} / 4\right) \\
& =L^{5} / 30 \\
& \mathrm{I}=\int \phi^{*} \mathrm{H} \phi \mathrm{~d} \tau / \int \phi^{*} \phi \mathrm{~d} \tau \\
& =\left\{\underline{h}^{2} \mathrm{~L}^{3} /(6 \mathrm{~m})\right\} /\left\{\mathrm{L}^{5} / 30\right\}
\end{aligned}
$$

$$
=5 \underline{\mathrm{~h}}^{2} /\left(\mathrm{L}^{2} \mathrm{~m}^{2}\right)=5 \mathrm{~h}^{2} /\left(4 \pi^{2} \mathrm{~L}^{2} \mathrm{~m}^{2}\right)=\mathrm{E}(\text { variational energy })
$$

By exact solution (Chapter 2), we found $\mathrm{E}_{0}=\mathrm{h}^{2} /\left(8 \mathrm{~L}^{2} \mathrm{~m}^{2}\right)$
$\%$ error $=\left|\mathrm{E}-\mathrm{E}_{0}\right| \mathrm{x} 100 / \mathrm{E}_{0}$

$$
\begin{aligned}
& =\left|5 \mathrm{~h}^{2} /\left(4 \pi^{2} \mathrm{~L}^{2} \mathrm{~m}^{2}\right)-\mathrm{h}^{2} /\left(8 \mathrm{~L}^{2} \mathrm{~m}^{2}\right)\right| \mathrm{x} 100 /\left\{\mathrm{h}^{2} /\left(8 \mathrm{~L}^{2} \mathrm{~m}^{2}\right)\right\} \\
& =\left(5 / \pi^{2}-1 / 2\right) \times 100 /(1 / 2) \\
& =1.3 \%
\end{aligned}
$$

(2) One-Dimensional Harmonic Oscillator

Boundary Conditions: $\psi=0, \mathrm{x}= \pm \infty$
Find a form for the trial wavefunction that satisfies the boundary conditions:

$$
\begin{aligned}
& \mathrm{e}^{-\mathrm{x}} \rightarrow 0 \text { as } \mathrm{x} \rightarrow \infty \\
& \mathrm{e}^{-\mathrm{x}} \rightarrow \infty \text { as } \mathrm{x} \rightarrow-\infty \\
& \mathrm{e}^{-\mathrm{x}^{* * 2}} \rightarrow 0 \text { as } \mathrm{x} \rightarrow \pm \infty
\end{aligned}
$$

But since we can expand $\mathrm{e}^{-\mathrm{x}^{* * 2}}$ in a power series, the power to which e is raised must be dimensionless otherwise we will get terms in (Length) ${ }^{2}$, (Length) ${ }^{4}$, etc. in the expansion. So include a factor of

$$
\alpha=2 \pi \nu \mathrm{~m} / \underline{\mathrm{h}} \quad \text { units of }(\text { Length })^{-2}
$$

Then $\alpha x^{2}$ is dimensionless. Here, $\alpha$ is a constant, not a variable parameter.

Since $V=\mathrm{kx}^{2} / 2$ is an even function, we need a trial function that has a definite parity because the true wavefunction must be even or odd.

$$
\begin{aligned}
& \left(1+b x^{2}\right) e^{-\alpha x^{* * 2}} \text { doesn't have a definite parity, but } \\
& \phi=\left(1+\mathrm{c} \alpha x^{2}\right) \mathrm{e}^{-\alpha x^{* * 2}} \text { does (even) }
\end{aligned}
$$

$\int \phi^{*} \phi \mathrm{~d} \tau=\int_{0}^{\infty}\left(1+\mathrm{c} \alpha \mathrm{x}^{2}\right)^{2} \mathrm{e}^{-2 \alpha x^{* * 2}} \mathrm{dx}$

$$
\begin{aligned}
= & 2\left\{\int_{0}^{\infty} \mathrm{e}^{-2 \alpha x * * 2} \mathrm{dx}+2 \mathrm{c} \alpha \int_{0}^{\infty} \mathrm{x}^{2} \mathrm{e}^{-2 \alpha x^{* * 2}} \mathrm{dx}\right. \\
& \left.\quad+\mathrm{c}^{2} \alpha^{2} \int_{0}^{\infty} \mathrm{x}^{4} \mathrm{e}^{-2 \alpha x^{* * 2}} \mathrm{dx}\right\} \\
= & 2\left\{(1 / 2)[\pi /(2 \alpha)]^{1 / 2}+2 \mathrm{c} \alpha / 4\right)\left[\pi /(2 \alpha)^{3}\right]^{1 / 2} \\
& \left.\quad+\mathrm{c}^{2} \alpha^{2}(3 / 8)\right)\left[\pi /(2 \alpha)^{5}\right]^{1 / 2} \\
= & {[\pi /(2 \alpha)]^{1 / 2}\left\{1+\mathrm{c} / 2+\mathrm{c}^{2} 3 / 16\right\} }
\end{aligned}
$$

$\int \phi^{*} \mathrm{H} \phi \mathrm{d} \tau=\underline{\mathrm{h}}^{2} / \mathrm{m}(\pi \alpha / 2)^{1 / 2}\left\{43 \mathrm{c}^{2} / 128-\mathrm{c} / 16+5 / 8\right)$ (check on your own)
$I=\int \phi^{*} H \phi d \tau / \int \phi^{*} \phi d \tau$

$$
=\underline{\mathrm{h}}^{2} / \mathrm{m}(\pi \alpha / 2)^{1 / 2}\left\{43 \mathrm{c}^{2} / 128-\mathrm{c} / 16+5 / 8\right) /[\pi /(2 \alpha)]^{1 / 2}\{1+\mathrm{c} / 2+
$$

$$
\left.c^{2} 3 / 16\right\}
$$

$$
=\underline{h}^{2} \alpha /(m 8)\left\{43 c^{2}-8 c+80\right\} /\left\{16+8 c+3 c^{2}\right\}
$$

$$
\partial \mathrm{I} / \partial \mathrm{c}=0 \rightarrow \partial / \partial \mathrm{c}\left\{43 \mathrm{c}^{2}-8 \mathrm{c}+80\right\} /\left\{16+8 \mathrm{c}+3 \mathrm{c}^{2}\right\}=0
$$

$$
=(86 c-8)\left(16+8 c+3 c^{2}\right)-\left\{43 c^{2}-8 c+80\right\}(8+6 c) /\left\{16+8 c+3 c^{2}\right\}
$$

This will be zero if the numerator $=0$. Simplifying the numerator $\rightarrow$

$$
23 c^{2}+56 c-48=0 \quad \text { or } c=-3.107 \text { or } 0.6718
$$

Evaluate I with these two c 's to see which one gives the lower energy:

$$
\mathrm{I}=\underline{\mathrm{h}}^{2} \alpha /(\mathrm{m} 8)\left\{43 \mathrm{c}^{2}-8 \mathrm{c}+80\right\} /\left\{16+8 \mathrm{c}+3 \mathrm{c}^{2}\right\}
$$

and

$$
\alpha=2 \pi \nu \mathrm{~m} / \underline{h}
$$

gives $\quad \mathrm{I}=\mathrm{E}=\mathrm{h} v\left\{43 \mathrm{c}^{2}-8 \mathrm{c}+80\right\} /\left\{24 \mathrm{c}^{2}+64 \mathrm{c}+128\right\}$
$\mathrm{c}=0.6718 \rightarrow$ lower $\mathrm{E}=0.517 \mathrm{~h} \nu$
Since $\mathrm{E}_{0}=0.5 \mathrm{~h} v, \%$ Error $=3.4 \%$
An alternative trial function could be

$$
\phi=\mathrm{e}^{-\mathrm{bdx} * * 2}
$$

Optimization of the variational integral gives $b=1 / 2 \& E=0.5$ $h \nu=\mathrm{E}_{0}$ (see homework problem). So in this case we happened to have chosen a form for the trial function which is the ground state wavefunction of the system.

## Linear Variation Functions

A common type of trial function for the wavefunction of molecules is one that contains the parameters as multiplicative factors of functions rather than appearing in the exponents. The trial function can be a linear combination of linearly independent functions:

$$
\phi=\sum_{i=1}^{N} c_{i} f_{i}
$$

where the $f_{i}$ satisfy the boundary conditions of the problem.
So $\int \phi^{*} \phi \mathrm{~d} \tau=\int\left(\sum_{\mathrm{i}=1}^{\mathrm{N}} \mathrm{c}_{\mathrm{i}}\right)^{*}\left(\sum_{\mathrm{j}=1}^{\mathrm{N}} \mathrm{c}_{\mathrm{i}} \mathrm{f}_{\mathrm{j}}\right) \mathrm{d} \tau$

$$
\begin{aligned}
& =\sum_{i=1}^{N N} \sum_{\mathrm{j}=1}^{\mathrm{N}} \mathrm{c}_{\mathrm{i}}{ }^{*} \mathrm{c}_{\mathrm{j}} \int \mathrm{f}_{\mathrm{i}}{ }^{*} \mathrm{f}_{\mathrm{j}} \mathrm{~d} \tau \\
& =\sum_{\mathrm{i}=1}^{\mathrm{N}} \sum_{\mathrm{j}=1} \mathrm{c}_{\mathrm{i}}{ }^{*} \mathrm{c}_{\mathrm{j}} \mathrm{~S}_{\mathrm{ij}}
\end{aligned}
$$

where $\mathrm{S}_{\mathrm{ij}}$ is the overlap integral, $\int \mathrm{f}_{\mathrm{i}}{ }^{*} \mathrm{f}_{\mathrm{j}} \mathrm{d} \tau . \mathrm{S}_{\mathrm{ij}}=0$ only when the f's are orthogonal. The f's are not necessarily the eigenfunctions of any operator.
$\int \phi^{*} \mathrm{H} \phi \mathrm{d} \tau=\int\left(\sum_{\mathrm{i}=1}^{\mathrm{c}_{\mathrm{i}} \mathrm{f}_{\mathrm{i}}}\right)^{*} \mathrm{H}\left(\underset{\mathrm{j}=1}{\mathrm{~N}} \mathrm{c}_{\mathrm{j}} \mathrm{f}_{\mathrm{j}}\right) \mathrm{d} \tau$

$$
\begin{aligned}
& =\sum_{i=1}^{N} \sum_{\mathrm{j}=1}^{N} c_{\mathrm{i}}{ }^{*} \mathrm{c}_{\mathrm{j}} \int \mathrm{f}_{\mathrm{i}}{ }^{*} \mathrm{H} \mathrm{f}_{\mathrm{j}} \mathrm{~d} \tau \\
& =\sum_{\mathrm{i}=1}^{N} \sum_{\mathrm{j}=1}^{\mathrm{N}} \mathrm{c}_{\mathrm{i}}{ }^{*} \mathrm{c}_{\mathrm{j}} \mathrm{H}_{\mathrm{ij}}
\end{aligned}
$$

where $H_{i j}$ is the integral, $\int f_{i}^{*} H f_{j} d \tau$.
Find the c's which minimize the variation integral

$$
\mathrm{I}=\int \phi^{*} \mathrm{H} \phi \mathrm{~d} \tau / \int \phi^{*} \phi \mathrm{~d} \tau
$$

$$
\mathrm{I}=\left\{\sum_{\mathrm{i}=1 \mathrm{j}=1}^{\mathrm{N}} \sum_{\mathrm{i}} \mathrm{c}_{\mathrm{i}}^{*} \mathrm{c}_{\mathrm{j}} \mathrm{H}_{\mathrm{ij}}\right\} /\left\{\sum_{\mathrm{i}=1 \mathrm{j}=1}^{\mathrm{N}} \sum_{\mathrm{i}} \mathrm{c}_{\mathrm{i}} \mathrm{c}_{\mathrm{i}} \mathrm{~S}_{\mathrm{ij}}\right\}
$$

Find the c's such that $\partial \mathrm{I} / \partial \mathrm{c}_{\mathrm{i}}=0, \mathrm{i}=1, \ldots \mathrm{~N}$
Rewrite the expression for I as

$$
\mathrm{I}\left\{\sum_{\mathrm{j}=\mathrm{k}=1}^{\mathrm{N}} \sum_{\mathrm{j}} \mathrm{c}_{\mathrm{j}}^{*} \mathrm{c}_{\mathrm{k}} \mathrm{~S}_{\mathrm{jk}}\right\}=\left\{\sum_{\mathrm{j}=1 \mathrm{k}=1}^{\mathrm{N}} \sum_{\mathrm{j}} \mathrm{c}_{\mathrm{k}} \mathrm{H}_{\mathrm{jk}}\right\}
$$

Take the partial derivative of each side with respect to c :

$$
\begin{aligned}
& \left(\partial \mathrm{I} / \partial \mathrm{c}_{\mathrm{i}}\right)\left\{\sum_{\mathrm{j}=1 \mathrm{k}=1}^{\mathrm{N} N} \sum \mathrm{c}_{\mathrm{j}}^{*} \mathrm{c}_{\mathrm{k}} \mathrm{~S}_{\mathrm{jk}}\right\}+\mathrm{I} \partial / \partial \mathrm{c}_{\mathrm{i}}\left\{\sum_{\mathrm{j}=1 \mathrm{k}=1}^{\mathrm{NN}} \mathrm{c}_{\mathrm{j}}^{*} \mathrm{c}_{\mathrm{k}} \mathrm{~S}_{\mathrm{jk}}\right\} \\
& \quad=\partial / \partial \mathrm{c}_{\mathrm{i}}\left\{\sum_{\mathrm{j}=1 \mathrm{k}=1}^{\mathrm{N}} \sum_{\mathrm{j}} \mathrm{c}_{\mathrm{j}}^{*} \mathrm{c}_{\mathrm{k}} \mathrm{H}_{\mathrm{jk}}\right\}
\end{aligned}
$$

The first term on the left $=0$, since the $\left(\partial \mathrm{I} / \partial \mathrm{c}_{\mathrm{i}}\right)=0$ by design. Consider the second term on the left:

$$
\begin{aligned}
& \partial / \partial c_{i}\left\{\sum_{j=1 \mathrm{k}=1}^{\mathrm{N}} \mathrm{c}_{\mathrm{j}}^{*} \mathrm{c}_{\mathrm{k}} \mathrm{~S}_{\mathrm{jk}}\right\}=\sum_{\mathrm{j}=1 \mathrm{k}=1}^{\mathrm{N} N} \partial / \partial \mathrm{c}_{\mathrm{i}}\left(\mathrm{c}_{\mathrm{j}}^{*} \mathrm{c}_{\mathrm{k}}\right) \mathrm{S}_{\mathrm{jk}} \\
& \quad=\sum_{\mathrm{j}=1 \mathrm{k}=1}^{\mathrm{N}} \sum_{\mathrm{k}}\left(\mathrm{c}_{\mathrm{k}} \partial \mathrm{c}_{\mathrm{j}}^{*} / \partial \mathrm{c}_{\mathrm{i}}+\mathrm{c}_{\mathrm{j}}^{*} \partial \mathrm{c}_{\mathrm{k}} / \partial \mathrm{c}_{\mathrm{i}}\right) \mathrm{S}_{\mathrm{jk}}
\end{aligned}
$$

$\partial c_{\mathrm{j}}{ }^{*} / \partial \mathrm{c}_{\mathrm{i}}=0$ unless $\mathrm{j}=\mathrm{i} . \quad$ So $\partial \mathrm{c}_{\mathrm{j}}{ }^{*} / \partial \mathrm{c}_{\mathrm{i}}=\delta_{\mathrm{ji}} \& \partial \mathrm{c}_{\mathrm{k}} / \partial \mathrm{c}_{\mathrm{i}}=\delta_{\mathrm{ki}}$. So
$\partial / \partial \mathrm{c}_{\mathrm{i}}\left\{\sum^{\mathrm{NN}} \Sigma \mathrm{c}_{\mathrm{j}} \mathrm{c}_{\mathrm{k}} \mathrm{S}_{\mathrm{jk}}\right\}=\Sigma^{\mathrm{N}} \Sigma^{N}\left(\mathrm{c}_{\mathrm{k}} \delta_{\mathrm{ji}}+\mathrm{c}_{\mathrm{j}}^{*} \delta_{\mathrm{ki}}\right) \mathrm{S}_{\mathrm{jk}}$
$\mathrm{j}=1 \mathrm{k}=1 \quad \mathrm{j}=1 \mathrm{k}=1$

$$
\begin{aligned}
& =\sum_{k=1}^{N} c_{k}\left(\sum_{\mathrm{j}=1 \mathrm{ji}}^{N} \mathrm{~S}_{\mathrm{jk}}\right)+\sum_{\mathrm{j}=1}^{N} \mathrm{c}_{\mathrm{j}}{ }^{*}\left(\sum_{\mathrm{k}=1}^{\mathrm{N}} \mathrm{k}_{\mathrm{i}} \mathrm{~S}_{\mathrm{jk}}\right) \\
& =\sum_{k=1}^{N} \mathrm{c}_{\mathrm{k}} \mathrm{~S}_{\mathrm{ik}}+\sum_{\mathrm{j}=1}^{N} \mathrm{c}_{\mathrm{j}}^{*} \mathrm{~S}_{\mathrm{ji}} \\
& =2 \sum_{\mathrm{k}=1}^{N} \mathrm{c}_{\mathrm{k}} \mathrm{~S}_{\mathrm{ik}}
\end{aligned}
$$

Similarly,
$\partial \partial c_{\mathrm{i}}\left\{\sum_{\mathrm{j}=\mathrm{l}=1 \mathrm{k}}^{\mathrm{N}} \mathrm{c}_{\mathrm{j}}{ }^{*} \mathrm{c}_{\mathrm{k}} \mathrm{H}_{\mathrm{jk}}\right\}=2 \sum_{\mathrm{k}=1}^{\mathrm{N}} \mathrm{c}_{\mathrm{k}} \mathrm{H}_{\mathrm{ik}}$
So I $2 \sum_{k=1}^{N} c_{k} S_{i k}=2 \sum_{k=1}^{N} c_{k} H_{i k}, \quad i=1, \ldots . . N$
Or

$$
\sum_{k=1}^{\mathrm{N}} \mathrm{c}_{\mathrm{k}}\left(\mathrm{H}_{\mathrm{ik}}-\mathrm{S}_{\mathrm{ik}} \mathrm{I}\right)=0
$$

The $\mathrm{c}_{\mathrm{k}}$ 's are the unknowns, the $\left(\mathrm{H}_{\mathrm{ik}}-\mathrm{S}_{\mathrm{ik}} \mathrm{I}\right)$ are the coefficients. For example, for $\mathrm{N}=2$, we have a set of linear homogeneous eq.:

$$
\begin{aligned}
& \left(\mathrm{H}_{11}-\mathrm{S}_{11} \mathrm{I}\right) \mathrm{c}_{1}+\left(\mathrm{H}_{12}-\mathrm{S}_{12} \mathrm{I}\right) \mathrm{c}_{2}=0 \\
& \left(\mathrm{H}_{21}-\mathrm{S}_{21} \mathrm{I}\right) \mathrm{c}_{1}+\left(\mathrm{H}_{22}-\mathrm{S}_{22} \mathrm{I}\right) \mathrm{c}_{2}=0
\end{aligned}
$$

There will be a nontrivial solution for the c's if the determinant of coefficients equals zero:

$$
\left|\begin{array}{cc}
\left(\mathrm{H}_{11}-\mathrm{S}_{11} \mathrm{I}\right) & \left(\mathrm{H}_{12}-\mathrm{S}_{12} \mathrm{I}\right) \\
\left(\mathrm{H}_{21}-\mathrm{S}_{21} \mathrm{I}\right) & \left(\mathrm{H}_{22}-\mathrm{S}_{22} \mathrm{I}\right)
\end{array}\right|=0
$$

Or $\left(\mathrm{H}_{11}-\mathrm{S}_{11} \mathrm{I}\right)\left(\mathrm{H}_{22}-\mathrm{S}_{22} \mathrm{I}\right)-\left(\mathrm{H}_{21}-\mathrm{S}_{21} \mathrm{I}\right)\left(\mathrm{H}_{12}-\mathrm{S}_{12} \mathrm{I}\right)=0$
This gives a quadratic Eq. in I. Solving for I gives two roots, $\mathrm{I}_{0}$ \& $\mathrm{I}_{1}$. It is possible to show that $\mathrm{I}_{0}$ is an upper bound to $\mathrm{E}_{0}$, the exact ground state energy \& that $I_{1}$ is an upper bound to $E_{1}$, the exact energy of the first excited state. It can be shown that the number of states that are approximated depend on the number of terms in the trial function \& that including more terms in the trial function does not change the accuracy of the previouslycalculated energies.

For the general case with an N -term trial function, we must solve an NxN determinant

$$
\left|\begin{array}{llc}
\left(\mathrm{H}_{11}-\mathrm{S}_{11} \mathrm{I}\right) & \ldots \ldots . & \left(\mathrm{H}_{1 \mathrm{~N}}-\mathrm{S}_{1 \mathrm{~N}} \mathrm{I}\right) \\
\ldots \ldots \ldots . & \ldots \ldots & \ldots \ldots \ldots \ldots
\end{array}\right|=0
$$

There will be N roots such that $\mathrm{I}_{0} \leq \mathrm{I}_{1} \ldots \ldots \leq \mathrm{I}_{\mathrm{N}} \& \mathrm{E}_{0} \leq \mathrm{I}_{0}, \mathrm{E}_{1} \leq$ $\mathrm{I}_{1}, \ldots \mathrm{E}_{\mathrm{N}} \leq \mathrm{I}_{\mathrm{N}}$

This is called the Secular Eq. and can be solved by the methods for solving homogeneous eq. The solution is made easier if the f's are chosen (or made) orthogonal. Then all the overlap integrals ( $\mathrm{S}_{\mathrm{ij}}$ ) are zero.

After solving the Secular Eq. for the I's, we can get $\psi$ by first finding the $c$ 's. Procedure to find $\mathrm{E}_{0} \& \psi_{0}$ (example- $\mathrm{N}=2$ ):
(1) Calculate $I_{0}$ from the secular eq. It will be the lowest root of

$$
\left(\mathrm{H}_{11}-\mathrm{S}_{11} \mathrm{I}\right)\left(\mathrm{H}_{22}-\mathrm{S}_{22} \mathrm{I}\right)-\left(\mathrm{H}_{21}-\mathrm{S}_{21} \mathrm{I}\right)\left(\mathrm{H}_{12}-\mathrm{S}_{12} \mathrm{I}\right)=0
$$

(2) Use $\mathrm{I}_{0}$ in the set of simultaneous Eq. to find the $\mathrm{c}_{\mathrm{k}}$ 's:

$$
\sum_{\mathrm{k}=1}^{\mathrm{N}} \mathrm{c}_{\mathrm{k}}\left(\mathrm{H}_{\mathrm{ik}}-\mathrm{S}_{\mathrm{ik}} \mathrm{I}\right)=0
$$

For $\mathrm{N}=2$ :

$$
\begin{aligned}
& \left(\mathrm{H}_{11}-\mathrm{S}_{11} \mathrm{I}_{0}\right) \mathrm{c}_{1}+\left(\mathrm{H}_{12}-\mathrm{S}_{12} \mathrm{I}_{0}\right) \mathrm{c}_{2}=0 \\
& \left(\mathrm{H}_{21}-\mathrm{S}_{21} \mathrm{I}_{0}\right) \mathrm{c}_{1}+\left(\mathrm{H}_{22}-\mathrm{S}_{22} \mathrm{I}_{0}\right) \mathrm{c}_{2}=0
\end{aligned}
$$

Discard one of the eq. \& solve for $\mathrm{c}_{2}$ in terms of $\mathrm{c}_{1}$ :

$$
c_{2}=c_{1}\left(H_{11}-S_{11} I_{0}\right) /\left(H_{12}-S_{12} I_{0}\right)
$$

(3) Find $c_{1}$ by normalization of $\psi_{0}$. Calculate $c_{2}$ from $c_{1}$.

## EXAMPLE: Polarizability of H

The $H$ atom is put into an electric field of strength $F$ (a.u.) in the z -direction.

Let

$$
\phi=\mathrm{c}_{1} \phi_{1 \mathrm{~s}}+\mathrm{c}_{2} \phi_{2 \mathrm{p}}
$$

where $\phi_{1 \mathrm{~s}} \& \phi_{2 \mathrm{p}}$ are the exact $1 \mathrm{~s} \& 2 \mathrm{p} \mathrm{H}$ atom wavefunctions. To simplify the calculations work in atomic units (a.u.). The unit of length is the Bohr radius and this is taken as 1 . So

$$
\begin{aligned}
& \quad \mathrm{a}_{0}=\underline{\mathrm{h}}^{2} /\left(\mathrm{m}_{\mathrm{e}} \mathrm{e}^{2}\right)=1 \\
& \text { Then } \quad \phi_{1 \mathrm{~s}}=1 / \sqrt{ } \pi \mathrm{Z}^{3 / 2} \mathrm{e}^{-\mathrm{Zr}} \& \phi_{2 \mathrm{pz}}=1 /[4 \sqrt{ }(2 \pi)] \mathrm{Z}^{5 / 2} \mathrm{e}^{-\mathrm{Zr} / 2} \cos \theta
\end{aligned}
$$

$$
\begin{aligned}
& \& H=-1 / 2 \nabla^{2}-1 / r-F r \cos \theta=H_{\text {atom }}-\mathrm{Fr} \cos \theta, \\
& \mathrm{E}_{\mathrm{N}}=-1 /\left(2 \mathrm{~N}^{2}\right)
\end{aligned}
$$

where $\mathrm{z}=\mathrm{r} \cos \theta$.
Solve for I from:

$$
\begin{aligned}
& \left|\begin{array}{cc}
\left(\mathrm{H}_{11}-\mathrm{S}_{11} \mathrm{I}\right) & \left(\mathrm{H}_{12}-\mathrm{S}_{12} \mathrm{I}\right) \\
\left(\mathrm{H}_{21}-\mathrm{S}_{21} \mathrm{I}\right) & \left(\mathrm{H}_{22}-\mathrm{S}_{22} \mathrm{I}\right)
\end{array}\right|=0 \\
& \text { Or }\left(\mathrm{H}_{11}-\mathrm{S}_{11} \mathrm{I}\right)\left(\mathrm{H}_{22}-\mathrm{S}_{22} \mathrm{I}\right)-\left(\mathrm{H}_{21}-\mathrm{S}_{21} \mathrm{I}\right)\left(\mathrm{H}_{12}-\mathrm{S}_{12} \mathrm{I}\right)=0 \\
& \text { where } \mathrm{H}_{11}=\left.\left\langle\phi_{1 \mathrm{~s}}\right| \mathrm{H}\right|_{\phi_{1 \mathrm{~s}}>} \\
& \mathrm{H}_{12}=\left\langle\phi_{1 \mathrm{~s}}\right| \mathrm{H}\left|\phi_{2 \mathrm{pz}}\right\rangle \\
& \mathrm{H}_{21}=\left.\left\langle\phi_{2 \mathrm{pz}}\right| \mathrm{H}\right|_{1 \mathrm{~s}}> \\
& \mathrm{H}_{22}=\left\langle\phi_{2 \mathrm{pz}}\right| \mathrm{H}\left|\phi_{2 \mathrm{pz}}\right\rangle \\
& \mathrm{S}_{11}=\left\langle\phi_{1 \mathrm{~s}}\right| \phi_{1 \mathrm{~s}}>=1 \text { since } \phi_{1 \mathrm{~s}} \text { is normalized }
\end{aligned}
$$

$$
\begin{aligned}
& \mathrm{S}_{12}=\left\langle\phi_{1 \mathrm{~s}} \mid \phi_{2 \mathrm{pz}}\right\rangle=0=\mathrm{S}_{21} \text { since } \phi_{1 \mathrm{~s}} \& \phi_{2 \mathrm{pz}} \text { are orthogonal } \\
& \mathrm{S}_{22}=\left\langle\phi_{2 \mathrm{pz}} \mid \phi_{2 \mathrm{pz}}\right\rangle=1 \text { since } \phi_{2 \mathrm{pz}} \text { is normalized } \\
& \mathrm{H}_{11}=\left\langle\phi_{1 \mathrm{~s}}\right| \mathrm{H}\left|\phi_{1 \mathrm{~s}}\right\rangle \\
& =\left\langle\phi_{1 \mathrm{~s}}\right| \mathrm{H}_{\mathrm{atom}}\left|\phi_{1 \mathrm{~s}}\right\rangle+\left\langle\phi_{1 \mathrm{~s}}\right|-\mathrm{Fr} \cos \theta\left|\phi_{1 \mathrm{~s}}\right\rangle \\
& =\left\langle\phi_{1 \mathrm{~s}}\right| \mathrm{E}_{1}\left|\phi_{1 \mathrm{~s}}\right\rangle+0=\mathrm{E}_{1}=-1 / 2 \text { a.u. } \\
& \mathrm{H}_{22}=\left\langle\phi_{2 \mathrm{pz}}\right| \mathrm{H}\left|\phi_{2 \mathrm{pz}}\right\rangle \\
& =\left\langle\phi_{2 \mathrm{pz}}\right| \mathrm{H}_{\mathrm{atom}}\left|\phi_{2 \mathrm{pz}}\right\rangle+\left\langle\phi_{2 \mathrm{pz}}\right|-\mathrm{Fr} \cos \theta\left|\phi_{2 \mathrm{pz}}\right\rangle \\
& =\left\langle\phi_{2 \mathrm{pz}}\right| \mathrm{E}_{2}\left|\phi_{2 \mathrm{pz}}\right\rangle+0=\mathrm{E}_{2}=-1 / 8 \text { a.u. } \\
& \mathrm{H}_{12}=\left\langle\phi_{1 \mathrm{~s}}\right| \mathrm{H}\left|\phi_{2 \mathrm{pz}}\right\rangle \\
& =\left\langle\phi_{1 \mathrm{~s}}\right| \mathrm{H}_{\mathrm{atom}}\left|\phi_{2 \mathrm{pz}}\right\rangle+\left\langle\phi_{1 \mathrm{~s}}\right|-\mathrm{Fr} \cos \theta\left|\phi_{2 \mathrm{pz}}\right\rangle \\
& =\mathrm{E}_{2}<\phi_{1 \mathrm{~s}} \mid \phi_{2 \mathrm{pz}}>-2^{15 / 2} \mathrm{~F} / 3^{5} \text { a.u. } \\
& =-2^{15 / 2} \mathrm{~F} / 3^{5} \text { a.u. since }\left\langle\phi_{1 \mathrm{~s}} \mid \phi_{2 \mathrm{pz}}\right\rangle=0 \\
& \mathrm{H}_{21}=\left\langle\phi_{2 \mathrm{pz}}\right| \mathrm{H}\left|\phi_{1 \mathrm{~s}}\right\rangle \\
& =\left\langle\phi_{2 \mathrm{pz}}\right| \mathrm{H}_{\mathrm{atom}}\left|\phi_{1 \mathrm{~s}}\right\rangle+\left\langle\phi_{2 \mathrm{pz}}\right|-\mathrm{Fr} \cos \theta\left|\phi_{1 \mathrm{~s}}\right\rangle \\
& =\mathrm{E}_{1}<\phi_{2 \mathrm{pz}} \mid \phi_{1 \mathrm{~s}}>-2^{15 / 2} \mathrm{~F} / 3^{5} \text { a.u. }
\end{aligned}
$$

$$
=-2^{15 / 2} \mathrm{~F} / 3^{5} \text { a.u. since }\left\langle\phi_{2 \mathrm{pz}} \mid \phi_{1 \mathrm{~s}}\right\rangle=0
$$

So solving the determinant for I gives

$$
\begin{aligned}
& (-1 / 2-\mathrm{I})(-1 / 8-\mathrm{I})-\left(-2^{15 / 2} \mathrm{~F} / 3^{5}\right)\left(-2^{15 / 2} \mathrm{~F} / 3^{5}\right)=0 \\
& \mathrm{I}=-5 / 16 \pm\left(9 / 64+2^{17} \mathrm{~F}^{2} / 3^{10}\right)^{1 / 2} / 2
\end{aligned}
$$

If $\mathrm{F}=0$, get $\mathrm{I}=\mathrm{E}=-1 / 2$ or $-1 / 8$ (the exact $1 \mathrm{~s} \& 2 \mathrm{p}$ energies)
If $\mathrm{F}=0.1$ a.u, $\mathrm{I}=-0.51425$ a.u. \& -0.1107 a.u.; $\mathrm{E}_{0}=-0.51425$
a.u. is the best upper bound to the 1 s state energy ( -0.5 a .u.).

Find $\mathrm{c}_{1} \& \mathrm{c}_{2} \&$ for $\mathrm{F}=0.1$ a.u. \& $\mathrm{E}_{0}=-0.51425$ a.u.:

$$
\begin{aligned}
& \mathrm{c}_{1}\left(\mathrm{H}_{11}-\mathrm{E}_{0}\right)+\mathrm{c}_{2}\left(\mathrm{H}_{12}\right)=0 \\
& \mathrm{c}_{1}\left(\mathrm{H}_{12}\right)+\mathrm{c}_{2}\left(\mathrm{H}_{22}-\mathrm{E}_{0}\right)=0
\end{aligned}
$$

Substituting gives

$$
\begin{aligned}
& 0.01425 c_{1}-0.074493 c_{2}=0 \\
& -0.074493 c_{1}+0.38925 c_{2}=0
\end{aligned}
$$

Dropping the second eq. \& solving for $\mathrm{c}_{1}$ from the first gives

$$
c_{1}=5.227 \mathrm{c}_{2}
$$

Normalizing the wavefunction gives

$$
\left|c_{1}\right|^{2}+\left|c_{2}\right|^{2}=1
$$

$$
\left(5.227 \mathrm{c}_{2}\right)^{2}+\mathrm{c}_{2}^{2}=1 \rightarrow \mathrm{c}_{2}= \pm 0.18789
$$

If $\mathrm{c}_{2}=+0.18789, \mathrm{c}_{1}=0.98219$. (the choice of the positive root for $\mathrm{c}_{2}$ is arbitrary). then

$$
\phi=0.98219 \phi_{1 \mathrm{~s}}+0.18789 \phi_{2 \mathrm{pz}}
$$

Note that most of the contribution comes from $\phi_{1 \mathrm{~s}}$, which agrees with the fact that the variational energy is an upper bound to the ground state energy.

If we used the other root of the eq., $I=-0.1107$, then

$$
\phi=-0.18789 \phi_{1 \mathrm{~s}}+0.98219 \phi_{2 \mathrm{pz}}
$$

and this is an upper bound to the first excited state ( $\mathrm{n}=2$ level). However, it may not be a good approximation since there is no $\phi_{2 \mathrm{~s}}$ component.

